

Board of Governors of the Federal Reserve System



Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I, Tracy Harris

Name of the Holding Company Director and Official

President, Director, Trustee

Title of the Holding Company Director and Official

I attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Tracy Harris

Signature of Holding Company Director and Official

7.22.2021

Date of Signature

For holding companies not registered with the SEC—
 Indicate status of Annual Report to Shareholders:

- Is included with the FR Y-6 report
- will be sent under separate cover
- Is not prepared

For Federal Reserve Bank Use Only

RSSD ID _____
 C.I. _____

Date of Report (top-tier holding company's fiscal year-end):

December 31, 2020

Month / Day / Year

N/A

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

National Bank & Trust Employee Stock Ownership Plan
 with 401 (k) Provisions

Legal Title of Holding Company

P.O. Box 310

(Mailing Address of the Holding Company) Street / P.O. Box

La Grange TX 78945

City State Zip Code

145 W Colorado Street

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

David Laffee CFO, Trustee

Name Title

979-968-4556

Area Code / Phone Number / Extension

979-968-5963

Area Code / FAX Number

davidl@nbt-texas.com

E-mail Address

N/A

Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission? 0=No
1=Yes

In accordance with the General Instructions for this report (check only one),

- 1. a letter justifying this request is being provided along with the report.....
- 2. a letter justifying this request has been provided separately ...

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

For Use By Tiered Holding Companies

Top-tiered holding companies must list the names, mailing address, and physical locations of each of their subsidiary holding companies below.

First La Grange Bancshares, Inc.

Legal Title of Subsidiary Holding Company

P.O. Box 310

(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

La Grange TX 78945

City State Zip Code

145 W. Colorado St., La Grange, TX 78945

Physical Location (if different from mailing address)

Legal Title of Subsidiary Holding Company

(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

City State Zip Code

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(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box

City State Zip Code

Physical Location (if different from mailing address)

National Bank & Trust
Employee Stock Ownership Plan with 401(k) Provisions
Organizational Chart
December 31, 2020

National Bank & Trust
Employee Stock Ownership Plan with 401(k) Provisions
No LEI number
La Grange, TX

Owns 14.95% reduced from 17% due to
participant payout to ESOP plan

First La Grange Bancshares, Inc.
No LEI number
La Grange, TX
Incorporated in Texas

Owns 100%

National Bank & Trust
No LEI number
La Grange, TX

Results: A list of branches for your depository institution: NATIONAL BANK & TRUST (ID: RSSD: 792659). This depository institution is held by FIRST LA GRANGE BANCSHARES, INC (2454504) of LA GRANGE, TX. The data are as of 12/31/2020. Data reflects information that was received and processed through 01/05/2021.

Reconciliation and Verification Steps

1. In the Data Action column of each branch row, enter one or more of the actions specified below
2. If required, enter the date in the Effective Date column

Actions:

OK: If the branch information is correct, enter 'OK' in the Data Action column.

Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column.

Close: If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column.

Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the Data Action column.

Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

Submission Procedure:

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.

If you are emailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note:

To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of **Change**, **Close**, **Delete**, or **Add**. The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

* FDIC UNINUM, Office Number, and ID_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID	RSSD*	Popular Name	Street Address	City	State	Zip Code	Country	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID	RSSD*	Comments
OK		Full Service (Head Office)	792659	NATIONAL BANK & TRUST	145 WEST COLORADO STREET	LA GRANGE	TX	78945-0310	FAYETTE	UNITED STATES	Not required	Not required	NATIONAL BANK & TRUST	792659			

National Bank & Trust Employee Stock Ownership Plan with 401(k) Provisions
5% Shareholder Control Groups
December 31, 2020

Name	City, State, Country	Country of Citizenship / Incorporation	Common Shares Owned / Controlled	Percentage of Total
Tracy Harris (Trustee)	La Grange, TX, USA	USA	8,151	100%
David Laffee (Trustee)	La Grange, TX, USA	USA	8,151	100%

Note regarding report item 3 (2): One change in 5% shareholder control groups during the year ending December 31, 2020. David Laffee has replaced Jaye Weishun as trustee of the Employee Stock Ownership Plan with only voting rights to the ESOP plan.

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First La Grange Bancshares, Inc
 La Grange, Texas
 Fiscal Year Ending December 31, 2020

Report Item 3: Securities Holders

Current Securities Holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 12-31-2020

Securities Holders not listed in 3(1)(a) through (3)(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12-31-2020

(1)(a) Name & Address (City, State, Country)	(1)(b) Country of Citizenship or Incorporation	(1)(c) Number and Percentage of Each Class of Voting Securities	(2)(a) Name & Address (City, State, Country)	(2)(b) Country of Citizenship or Incorporation	(2)(c) Number and Percentage of Each Class of Voting Securities
L. Donald Mayer La Grange, Texas USA	USA	10,782 (19.78%)	None		
National Bank & Trust Employee Stock Ownership Plan with 401(k) Provisions National Bank & Trust Tracy Harris and David Laffee are trustees La Grange, Texas USA	USA	8,151 (14.95%)			
Anna Mae Hajovsky La Grange, Texas USA	USA	4,246 (7.79%)			
*Noreen Dopslauf La Grange, Texas USA	USA	3,581 (6.56%)			
*Donella Ruth Dopslauf Cemosek La Grange, Texas USA	USA	2,848 (5.23%)			

*Noreen and Donella are related and the combined shares are 6,429 and 11.79%

Note regarding report item 3 (2):
 One change in 5% shareholder control groups during the year ending December 31, 2020. Bruce Dopslauf's demise, transferred to spouse Noreen Dopslauf.

National Bank & Trust Employees Stock Ownership Plan with 401(k) Provisions
Insiders
December 31, 2020

Name / Address	Occupation other than HC	Position with HC	Position with Subsidiaries (include name of subsidiary)	Position with Other Businesses (include name)	Percent Shares Own / Control of HC	Percent Shares Own / Control in Subs.	Names of Other Companies Greater Than 25% Owned
Tracy Harris La Grange, TX, USA	Banking Officer	President Director Trustee	President Director National Bank & Trust	None	100% (as trustee)	15.14% First La Grange Bancshares, Inc.	None
David Laffee La Grange, TX, USA	Banking Officer	Vice Pres. Treasurer Trustee	Sr. Vice Pres. CFO National Bank & Trust	None	100% (as trustee)	14.95% Trustee of National Bank and Trust Employee Stock Ownership Plan with 401(k) provisions	None
Glen Kewley La Grange, TX, USA	Banking Officer	Secretary	Sr. Vice Pres. Sr. Loan Officer National Bank & Trust	None	None	None	None

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First La Grange Bancshares, Inc
La Grange, Texas
Fiscal Year Ending December 31, 2020

Report Item 4: Insiders
(1)(a)(b)(c) and (2)(a)(b)(c)

(1)	(2)	(3)(a)	(3)(b)	(3)(c)	(4)(a)	(4)(b)	(4)(c)
Names & Address (City, State, Country)	Principal Occupation If other than with Bank Holding Company	Title & Position with Bank Holding Company	Title & Position with Subsidiaries (Include names of subsidiaries)	Title & Position with Other Businesses (Include names of other businesses)	Percentage of Voting Securities in Bank Holding Company	Percentage of Voting Securities in Subsidiaries (Include names of subsidiaries)	List names of other companies (includes partnerships) if 25% or more of voting securities are held (List names of companies and percentage of voting securities held)
Michael Corker La Grange, Texas USA	Licensed Real Estate Agent	Chairman of the Board	Director of National Bank & Trust	Secretary - Treasurer Hart Land Real Estate	0.79%	N/A	Hart Land Real Estate 50%
Gary Nitsche La Grange, Texas USA	Insurance Agent	Director	Director of National Bank & Trust	President of Insurance Network of Texas	0.33%	N/A	None
Mary White Gunn La Grange, Texas USA	Attorney	Director	Director of National Bank & Trust	Attorney & Partner in the Law Firm Giese & Gunn LLP	0.01%	N/A	None
M. Chris Mayer La Grange, Texas 78945 USA	Attorney	Director	Director of National Bank & Trust	Attorney in the Law Firm Oeljen, Schovajsa, Klesel LLP	0.01%	N/A	None
Tracy Harris La Grange, Texas USA	Banking	President Director	President & CEO National Bank & Trust	None	15.14% *	N/A	None
David Laffee La Grange, Texas USA	Banking	Vice President Treasurer	SVP - CFO National Bank & Trust	None	14.95%*	N/A	None
Glen Kewley La Grange, Texas USA	Banking	Secretary	SVP - Senior Loan Officer National Bank & Trust	None	0.00%	N/A	None
L. Donald Mayer La Grange, Texas USA	Dentist	Principal Securities Holder	None	Orthodontist Donald L. Mayer DDS	19.78%	N/A	None
Donella Ruth Dopslauf- Cernosek La Grange, Texas USA	Entrepreneur	Principal Securities Holder	None	None	5.23%	N/A	None
Noreen Dopslauf La Grange, Texas USA	Entrepreneur	Principal Securities Holder	None	None	6.56%	N/A	None

*Tracy Harris and David Laffee are the trustees that can vote the National Bank and Trust ESOP with 401(k) Provisions 14.95% Shares